

UNITED STATES DISTRICT COURT
for the
Southern District of New York

Virtu Financial, Inc.

Plaintiff

v.

United States Securities and Exchange Commission

Defendant

Civil Action No. 22-cv-10088

SUMMONS IN A CIVIL ACTION

To: (Defendant's name and address)

United States Securities and
Exchange Commission
c/o General Counsel
100 F Street, N.E.
Washington, D.C. 20549
A lawsuit has been filed against you.

Within 30 days after service of this summons on you (not counting the day you received it) you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are:

Lorin L. Reisner
Paul, Weiss, Rifkind, Wharton &
Garrison LLP
1285 Avenue of the Americas
New York, NY 10019

If you fail to respond, judgment by default may be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

CLERK OF COURT

Date: 11/30/2022

/s/ P. Canales

Signature of Clerk or Deputy Clerk

Civil Action No. _____

PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))***

This summons for *(name of individual and title, if any)* _____
 was received by me on *(date)* _____.

▸ I personally served the summons on the individual at *(place)* _____
 _____ on *(date)* _____; or

▸ I left the summons at the individual's residence or usual place of abode with *(name)* _____
 _____, a person of suitable age and discretion who resides there,
 on *(date)* _____, and mailed a copy to the individual's last known address; or

▸ I served the summons on *(name of individual)* _____, who is
 designated by law to accept service of process on behalf of *(name of organization)* _____
 _____ on *(date)* _____; or

▸ I returned the summons unexecuted because _____; or

▸ Other *(specify)*: _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ _____.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc:

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

VIRTU FINANCIAL, INC.,

Plaintiff,

vs.

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,

Defendant.

Case No. 22-cv-10088

**AFFIDAVIT OF SERVICE BY U.S.
POSTAL SERVICE™ CERTIFIED
MAIL® RETURN RECEIPT
REQUESTED**

STATE OF NEW YORK)

) ss.:

COUNTY OF NEW YORK)

ANDREW DANIEL, being duly sworn, deposes and says:

1. I am not a party to this action, am over 18 years of age and am employed by Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York 10019.

2. On December 1, 2022, I served a true copy of the

- SUMMONS TO THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION,
- COMPLAINT WITH EXHIBITS A-J,
- CIVIL COVER SHEET,
- RULE 7.1 CORPORATE DISCLOSURE STATEMENT,
- SDNY ELECTRONIC CASE FILING RULES & INSTRUCTIONS, and

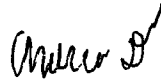
- VERNON S. BRODERICK, UNITED STATES DISTRICT JUDGE
INDIVIDUAL RULES & PRACTICES IN CIVIL CASES on the following:

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
OFFICE OF THE GENERAL COUNSEL
100 F Street, N.E.
Washington, DC 20549

ATTORNEY GENERAL OF THE UNITED STATES
950 Pennsylvania Avenue, NW
Washington, DC 20530

UNITED STATES ATTORNEY
CIVIL-PROCESS CLERK
1 St. Andrew's Plaza
New York, NY 10007

3. I made such service by personally placing a true copy of the aforementioned documents in properly addressed prepaid certified mail envelopes, with return receipts requested, and depositing them into an official depository under the exclusive custody and care of the United States Postal Service within the State of New York.



ANDREW DANIEL

Sworn to before me this

1st day of December, 2022



Notary Public

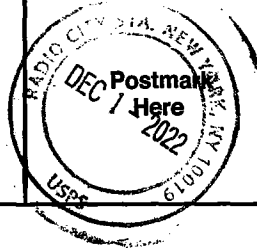
CHRISTINA M. BLANCO
Notary Public, State of New York
No. 01BL6276935
Qualified in New York County
Commission Expires February 25, 2025

U.S. Postal Service®
CERTIFIED MAIL® RECEIPT
Domestic Mail Only

USPS® ARTICLE NUMBER

9414 7266 9904 2164 3720 91

Certified Mail Fee	\$	
Return Receipt (Hardcopy)	\$	4.00
Return Receipt (Electronic)	\$	3.25
Certified Mail Restricted Delivery	\$	
Postage	\$	
Total Postage and Fees	\$	



Sent to:



United States
 Securities and Exchange Commission
 Office of the General Counsel
 100 F St N.E.
 Washington, DC 20549
 US

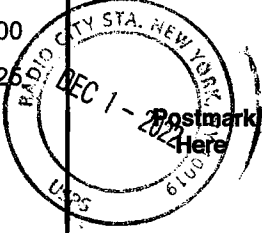

Reference Information

018870.00059-55044 -



PS Form 3800, Facsimile, July 2015

U.S. Postal Service® CERTIFIED MAIL® RECEIPT <i>Domestic Mail Only</i>	
USPS® ARTICLE NUMBER 9414 7266 9904 2184 3721 21	
Certified Mail Fee	\$
Return Receipt (Hardcopy)	\$ 4.00
Return Receipt (Electronic)	\$ 3.25
Certified Mail Restricted Delivery	\$ 10.95
Postage	\$ 8.20
Total Postage and Fees	\$
	
Sent to:	
Attorney General of the U.S. 950 Pennsylvania Avenue, NW Washington, DC 20540-0001 US	
Reference Information	
018870.00059-55044 -	
	
PS Form 3800, Facsimile, July 2015	

U.S. Postal Service® CERTIFIED MAIL® RECEIPT <i>Domestic Mail Only</i>	
USPS® ARTICLE NUMBER	
9414 7266 9904 2184 3721 07	
Certified Mail Fee	\$
Return Receipt (Hardcopy)	\$ 4.00
Return Receipt (Electronic)	\$ 3.25
Certified Mail Restricted Delivery	\$
Postage	\$
Total Postage and Fees	\$
	
Sent to:	
United States Attorney Civil Process Clerk 1 Saint Andrew's Plaza New York, NY 10007 US	
Reference Information	
018870.00059-55044 -	
	
PS Form 3800, Facsimile, July 2013	

[FAQs >](#)

Tracking Number:

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Latest Update

Your item was delivered to the front desk, reception area, or mail room at 8:28 am on December 5, 2022 in WASHINGTON, DC 20549.

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USPS Tracking Plus®

Feedback

Delivered

Delivered, Front Desk/Reception/Mail Room

WASHINGTON, DC 20549

December 5, 2022, 8:28 am

Available for Pickup

WASHINGTON, DC 20549

December 4, 2022, 11:00 am

Arrived at Hub

WASHINGTON, DC 20018

December 4, 2022, 10:18 am

Arrived at USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER

December 3, 2022, 7:52 am

Departed USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER

December 3, 2022, 6:33 am

Arrived at USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER
December 3, 2022, 1:09 am

Arrived at USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER
December 2, 2022, 2:20 pm

Arrived at USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER
December 2, 2022, 12:40 pm

Departed USPS Regional Facility

NEW YORK NY DISTRIBUTION CENTER
December 2, 2022, 5:41 am

Arrived at USPS Regional Origin Facility

NEW YORK NY DISTRIBUTION CENTER
December 1, 2022, 9:08 pm

Departed Post Office

NEW YORK, NY 10019
December 1, 2022, 7:06 pm

USPS in possession of item

NEW YORK, NY 10019
December 1, 2022, 4:49 pm

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Text & Email Updates

USPS Tracking Plus®

Product Information

See Less

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Enter tracking or barcode numbers

Tracking Number:

Remove X

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Latest Update

Your item was picked up at a postal facility at 4:49 am on December 5, 2022 in WASHINGTON, DC 20530.

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USPS Tracking Plus[®]

Delivered

Delivered, Individual Picked Up at Postal Facility

WASHINGTON, DC 20530

December 5, 2022, 4:49 am

Available for Pickup

WASHINGTON, DC 20530

December 3, 2022, 10:54 am

Arrived at Post Office

WASHINGTON, DC 20018

December 3, 2022, 8:27 am

Arrived at USPS Regional Facility

WASHINGTON DC DISTRIBUTION CENTER

December 2, 2022, 2:20 pm

Arrived at USPS Regional Origin Facility

NEW YORK NY DISTRIBUTION CENTER

December 1, 2022, 9:08 pm

Departed Post Office

Feedback

NEW YORK, NY 10019
December 1, 2022, 7:06 pm

USPS in possession of item
NEW YORK, NY 10019
December 1, 2022, 4:49 pm

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Text & Email Updates



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Product Information



See Less

Track Another Package

Enter tracking or barcode numbers

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Contact USPS Tracking support for further assistance.

FAQs

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

VIRTU FINANCIAL, INC.,

Plaintiff,

vs.

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,

Defendant.

Case No. 22-cv-10088

**AFFIDAVIT OF SERVICE BY U.S.
POSTAL SERVICE™ CERTIFIED
MAIL® RETURN RECEIPT
REQUESTED**

STATE OF NEW YORK)

) ss.:

COUNTY OF NEW YORK)

AUSTIN K. WILKINSON, being duly sworn, deposes and says:

1. I am not a party to this action, am over 18 years of age and am employed by Paul, Weiss, Rifkind, Wharton & Garrison LLP, 1285 Avenue of the Americas, New York, New York 10019.

2. On December 8, 2022, I served a true copy of the

- SUMMONS TO THE UNITED STATES SECURITIES AND EXCHANGE COMMISSION,
- COMPLAINT WITH EXHIBITS A-J,
- CIVIL COVER SHEET,
- RULE 7.1 CORPORATE DISCLOSURE STATEMENT,
- SDNY ELECTRONIC CASE FILING RULES & INSTRUCTIONS, and

- VERNON S. BRODERICK, UNITED STATES DISTRICT JUDGE
INDIVIDUAL RULES & PRACTICES IN CIVIL CASES on the following:

Civil Process Clerk
United States Attorney's Office
86 Chambers Street, 3rd Floor
New York, NY 10007

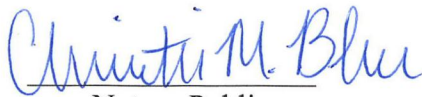
3. I made such service by personally placing a true copy of the aforementioned documents in a properly addressed prepaid certified mail envelope, with return receipt requested, and depositing it into an official depository under the exclusive custody and care of the United States Postal Service within the State of New York.



AUSTIN K. WILKINSON


Sworn to before me this

8th day of December, 2022



Notary Public

CHRISTINA M. BLANCO
Notary Public, State of New York
No. 01BL6276935
Qualified in New York County
Commission Expires February 25, 2025

U.S. Postal Service® CERTIFIED MAIL® RECEIPT <i>Domestic Mail Only</i>	
USPS® ARTICLE NUMBER	
9414 7266 9904 2146 2847 07	
Certified Mail Fee	\$
Return Receipt (Hardcopy)	\$ 4.00
Return Receipt (Electronic)	\$ 3.25
Certified Mail Restricted Delivery	\$ 8.49
Postage	\$
Total Postage and Fees	\$ 15.44
<div style="text-align: right;">Postmark Here</div> 	
Sent to:	
Civil Process Clerk United States Attorney's Office 86 Chambers Street, 3rd Floor New York, NY 10007 US	
Reference Information	
018870.00059-55044 -	
 PS Form 3800, Facsimile July 2015	

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Latest Update

Your item has been delivered to an agent for final delivery in NEW YORK, NY 10007 on December 12, 2022 at 2:31 pm.

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Delivered to Agent

Delivered to Agent for Final Delivery

NEW YORK, NY 10007

December 12, 2022, 2:31 pm

In Transit to Next Facility

December 11, 2022

Departed USPS Regional Facility

NEW YORK NY DISTRIBUTION CENTER

December 9, 2022, 1:49 am

Arrived at USPS Regional Facility

NEW YORK NY DISTRIBUTION CENTER

December 8, 2022, 8:00 pm

Hide Tracking History

Feedback

Text & Email Updates



USPS Tracking Plus®



Product Information



See Less ^

Track Another Package

Enter tracking or barcode numbers

Need More Help?

Contact USPS Tracking support for further assistance.

FAQs